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APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/918,301

Paul G. Allen

4000.2.52

21552 MADSON & METCALF **GATEWAY TOWER WEST** SUITE 900 15 WEST SOUTH TEMPLE SALT LAKE CITY, UT 84101

02/27/300g BABABABA 10000064 070.3001



CONFIRMATION NO. 9860 **FORMALITIES LETTER** °OC000000006514351°

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1058.
 - \$738 for 41 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1898.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

A111-

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATE OF MAILING

Attorney for Applicant(s)

EB 2 1 2002 55

PATENT Docket No. 4000.2.52

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul G. Allen, et al.)

Serial No.: 09/918,301)

Filed: July 30, 2001)

For: SYSTEM AND METHOD FOR DISPLAYING VIDEO)

STREAMS RANKED BY USER-SPECIFIED CRITERIA)

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Assistant Commissioner for Patents Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed September 5, 2001, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

a Declaration, Power of Attorney, and Petition executed by the inventors Paul G.
 Allen, Michael Lee, and James K. Okamoto;

- (2) a Fee Transmittal;
- (3) a Credit Card Payment Form in the amount of \$1944 to cover the filing fee for the above-identified application and the surcharge fee pursuant to 37 C.F.R. § 1.16(e).
- (4) a Three Month Petition for Extension of Time;
- (5) a Credit Card Payment Form in the amount to \$920 to cover the fee for the Three

 Month Petition for Extension of Time;
- (6) a copy of the Notice to File Missing Parts of Application Filing Date Granted;
 Please debit any additional fees, or credit any over payment, to Deposit Account No. 130763. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

- x Any additional filing fees required under 37 C.F.R. § 1.16.
- x Any patent application processing fees under 37 C.F.R. § 1.17.
- x Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this application to the attention of the undersigned.

Respectfully submitted,

John R. Thompson

Reg. No.40,842

Attorney for Applicant

Date: February 5, 2002

MADSON & METCALF 900 Gateway Tower West 15 West South Temple Salt Lake City, Utah 84101

Telephone: 801/537-1700

Complete (if applicable)

40,842

Reg. Number

Deposit Account

Approved for use through 10/31/2002. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete If Known Application Number 09/918,301 E TRANSMITTAL Filing Date July 30, 2001 ..for FY 2002 Paul G. Allen First Named Inventor stent lees are subject to annual revision. Group Art Unit 2152 Applies Maims small entity status. See 37 CFR 1.27 **Examiner Name** 4000.2.52 TOTAL AMOUNT OF PAYMENT \$ 1944 Attorney Docket Number To A See Paid FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES ☐Check CCredit card ☐Money Order ☐Other ☐None Large Entity Small Entity Deposit Account: Deposit Account Fee Fee Fee Fee Description 13-0763 Fee (\$) Code (\$) Code Number 65 Surcharge - late filing fee or oath 105 130 205 Deposit Account MADSON & METCALF Name 127 50 227 25 Surcharge - late provisional filing fee or cover sheet The Commissioner is authorized to: (check all that apply) 139 130 139 130 Non-English specification Charge fee(s) indicated below Credit any overpayments 147 2520 147 2520 For filing a request for reexamination Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR prior to Examiner 112 9201 112 920* to the above-identified deposit account action 1840* 1840* Requesting publication of SIR after Examiner 113 113 115 215 55 Extension for reply within first month **FEE CALCULATION** 110 1. BASIC FILING FEE 116 400 216 200 Extension for reply within second month Extension for reply within third month 117 920 217 460 Extension for reply within fourth month Large Entity Small Entity 118 1440 218 Fee Fee Fee Description Fee Paid 128 1960 228 980 Extension for reply within fifth month Code (\$) Code (\$) 219 160 Notice of Appeal 119 320 101 740 201 370 Utility filing fee 740 120 320 160 Filing a brief in support of an appeal 221 Request for oral hearing 330 206 121 280 140 106 165 Design filing fee Petition to institute a public use proceeding 510 138 1510 138 1510 107 207 255 Plant filing fee 108 740 208 370 Reissue filing fee 140 110 240 55 Petition to revive - unavoidable Provisional filing fee 141 241 Petition to revive - unintentional 160 214 1280 640 740 142 1280 242 640 Utility issue fee (or reissue) SUBTOTAL (1) 143 460 230 Design issue fee 2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE Extra Claims Fee from below Fee Paid 144 620 244 Plant issue fee **Total Claims** 738 122 130 122 Petitions to the Commissioner 61 -20* 18 Ind. Claims 336 123 50 123 Processing fee under 37 CFR 1.17(a) 84 Multiple Dep. Claims 140 126 Submission of Information Disclosure Stmt 126 180 180 581 40 581 Recording each patent assignment per property Large Entity Small Entity (times number of properties) Fee Fee Fee 146 740 246 Filing a submission after final rejection (37 CFR Fee Fee Description 1.129(a)) Code (\$) Code (\$) For each additional invention to be examined (37 Claims in excess of 20 149 740 249 370 103 18 203 9 102 84 202 Independent claims in excess of 3 CFR 1.129(b)) 42 104 280 204 Multiple dependent claim 179 740 279 370 Request for Continued Examination (RCE) Request for expedited examination of a design 109 84 209 **Reissue independent claims 169 900 169 over original patent application 110 18 210 *Reissue claims in excess of 20 Other fee (specify) and over original patent SUBTOTAL (2) 1074 SUBTOTAL (3) 130 *Reduced by Basic Filing Fee or number previously paid, if greater; For Reissues, see above

Date Feb 5, 2002 Signature WARNING: Information on this form may become public. Credit card information should not

John R. Thompson

SUBMITTED BY

Typed or Printed Name

be included on this form. Provide credit card information and authorization on PTO-2038.